



UNITED STATES PATENT AND TRADEMARK OFFICE



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/026,993	12/19/2001	John M. Fevig	PH-7263

24348
BRISTOL-MYERS SQUIBB PHARMA COMPANY
PATENT DEPARTMENT
P.O. BOX 4000
PRINCETON, NJ 08543-4000

CONFIRMATION NO. 1186
FORMALITIES LETTER

OC000000007732047

Date Mailed: 03/27/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/15/2002 AMONDAF1 00000040 193880 10026993

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#3
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: Fevig et al

CASE NO.: PH-7263

APPLICATION NO.: 10/026,993

GROUP ART UNIT: 1615

FILED: DECEMBER 19, 2001

EXAMINER: UNKNOWN

FOR: SUBSTITUTED PYRROLOQUINOLINES AND PYRIDOQUINOLINES AS
SEROTONIN AGONISTS AND ANTAGONISTS

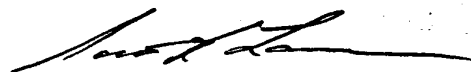
**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION- FILING DATE GRANTED**

Assistant Commissioner for Patents
Attention: Box Missing Parts
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed March 27, 2002 (a copy of which is attached), enclosed is a copy of the Declaration and Power of Attorney for the above-referenced application. Please charge the \$130.00 surcharge fee applicable for filing the Declaration on a date later than the filing date to Deposit Account 193880 (Bristol-Myers Squibb Company). If the fee is insufficient or incorrect, please charge or credit the balance to the above-identified account.

Respectfully submitted,



SCOTT K. LARSEN, PH.D., J.D.
ATTORNEY FOR APPLICANTS
REGISTRATION NO. 38,532
TELEPHONE: 302-467-5261

Dated: 5-3-2002

Bristol-Myers Squibb Company
P.O. Box 4000
Princeton, NJ 08543-4000

FEE TRANSMITTAL for FY 2001		Complete if Known	
		Application Number	10/026,993
Patent fees are subject to annual revision.		Filing Date	December 19, 2001
		First Named Inventor	John M. Fevig et al
		Examiner Name	Unknown
		Group / Art Unit	1615
TOTAL AMOUNT OF PAYMENT (\$)		130.00	Attorney Docket No. PH-7263

Stamp: MAY 13 2002
Text: COPY OF PAPERS ORIGINALLY FILED

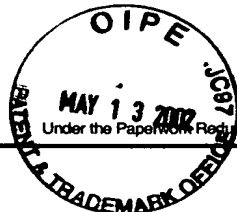
METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																															
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p><input checked="" type="checkbox"/> Deposit Account Number 193880</p> <p><input checked="" type="checkbox"/> Deposit Account Name Bristol-Myers Squibb Company</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p>3. ADDITIONAL FEES</p> <table border="1"><thead><tr><th>Large Fee Code</th><th>Entity Fee (\$)</th><th>Small Fee Code</th><th>Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>130.00</td></tr><tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr><tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr><tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for ex parte reexamination</td><td></td></tr><tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116</td><td>400</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117</td><td>920</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118</td><td>1,440</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128</td><td>1,960</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr><tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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<p>FEE CALCULATION</p> <p>1. BASIC FILING FEE</p> <table border="1"><thead><tr><th>Large Fee Code</th><th>Entity Fee (\$)</th><th>Small Fee Code</th><th>Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101</td><td>740</td><td>201</td><td>355</td><td>Utility filing fee</td><td></td></tr><tr><td>106</td><td>330</td><td>206</td><td>160</td><td>Design filing fee</td><td></td></tr><tr><td>107</td><td>510</td><td>207</td><td>245</td><td>Plant filing fee</td><td></td></tr><tr><td>108</td><td>740</td><td>208</td><td>355</td><td>Reissue filing fee</td><td></td></tr><tr><td>114</td><td>160</td><td>214</td><td>75</td><td>Provisional filing fee</td><td></td></tr></tbody></table> <p>SUBTOTAL (1) (\$)</p>		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	101	740	201	355	Utility filing fee		106	330	206	160	Design filing fee		107	510	207	245	Plant filing fee		108	740	208	355	Reissue filing fee		114	160	214	75	Provisional filing fee																																																																																																																																													
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SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Scott K. Larsen	Registration No. Attorney/Agent	38,532	Telephone	302-467-5261
Signature		Date	May 3, 2002		

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Certificate of Mailing under 37 CFR 1.8

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I hereby certify that this correspondence a **Response to Notice to File Missing Parts of Application – Filing Date Granted, Declaration and Power of Attorney Forms, PTO Notice to File Missing Parts, Fee Transmittal Sheet and Postcard** are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

On 5-3-2002.
Date


Signature

Diane C. Pickering/Depositor
Type or printed name of person signing Certificate

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